

## Criteria for SHIN-NY Regulated Entities: Article 28 Skilled Nursing Facilities, Article 36 Home Care and Article 40 Hospice Facilities

The New York State Department of Health, with support from the Centers for Medicare & Medicaid Services, has established the Data Exchange Incentive Program (DEIP) to increase health information exchange (HIE) adoption across the state by building electronic health record (EHR) interfaces to New York State’s HIE, the Statewide Health Information Network for New York (SHIN-NY). The SHIN-NY connects regional networks, or Qualified Entities (QEs). This program is designed to help offset the cost for organizations connecting to a QE.

### Eligibility Criteria

**An organization must:**

- Utilize an EHR that has obtained **at least one** of the following Privacy & Security Assurances (A, B, **or** C):

A. ONC Certification\* for, at a minimum, the following Privacy & Security criteria:

- (d.1) Authentication, Access Control, and Authorization
- (d.2) Auditable Events
- (d.3) Audit Report(s)
- (d.4) Amendments
- (d.5) Automatic Log-off
- (d.6) Emergency Access
- (d.7) End-User Device Encryption
- (d.8) Integrity

B. Current SOC 2, Type II audit with no material findings\*\*

C. Current validated HITRUST assessment or NIST cybersecurity framework assessment\*\*

- Be able to send information electronically to a QE in either CCD or C-CDA format

- Be licensed by New York State as an Article 28 Skilled Nursing facility, Article 36 Home Care facility (Certified Home Health Agency, Licensed Home Care Services Agency, Long-Term Home Health Care Program) or Article 40 Hospice facility
- Have at least one provider that accepts Medicaid (Fee-For-Service or Medicaid Managed Care)
- NOT** already be connected to a QE and contributing data
- NOT** have received payment from any source for similar HIE activities

### New York State’s Qualified Entities

- Bronx RHIO
- HealthConnections
- HEALTHeLINK
- Healthix
- Hixny
- Rochester RHIO

\*If the EHR vendors meets requirement 'A', they must have and maintain a Certification Status of 'Active' from an ONC Authorized Testing & Certification Body (ONC-ATCB). EHR vendor may certify against additional Privacy & Security criteria as desired. Certification may be against the 2014 or 2015 Edition of ONC Certification. Additionally, the ONC-ATCB may also require (g.4) Quality Management System and/or (g.5) Accessibility-Centered Design.

\*\*If the EHR vendor meets requirement 'B' or 'C', they must also provide NYeC with an attestation that demonstrates their product’s ability to meet the requirements 45 CFR 170.314(d)(1) through 170.314(d)(8) which represent the EHR features, functions, and behaviors related to privacy and security.



[deip@nyehealth.org](mailto:deip@nyehealth.org)



[www.nyehealth.org/deip](http://www.nyehealth.org/deip)

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## Conditions of Participation

Organizations participating in DEIP are incentivized to contribute specific data elements.

Sign a QE Participation Agreement	<p><b>Contribute</b> to the QE the required data elements, according to the Standards defined by ONC in the Common Clinical Data Set in C-CDA or HL7v2 Format.<sup>1</sup> Data contribution requirements will consistently reflect the SHIN-NY-wide expectations for data contribution as listed below:</p> <ol style="list-style-type: none"> <li>1. Patient Name</li> <li>2. Sex</li> <li>3. Date of Birth</li> <li>4. Race</li> <li>5. Ethnicity</li> <li>6. Preferred Language</li> <li>7. Problems</li> <li>8. Medications</li> <li>9. Medication Allergies</li> <li>10. Vital Signs (height, weight, blood pressure, BMI)</li> <li>11. Care Team Member(s)</li> </ol> <p><b>Additional Recommended Data Elements:</b> Laboratory Test(s), Laboratory Value(s)/Result(s), Procedures, Smoking Status, Care Plan Field(s), including Goals and Instructions, Incidents &amp; Accidents (I&amp;A), Nurse Notes, Progress Notes, Orders, Pain and Skin Assessment, Advance Directives/MOLST</p>
Must be able to electronically receive a Summary of Care Record in a C-CDA format (via QE web portal, DIRECT secure messaging, or EHR interface)	
Attests to continue data exchange for one year. Failure to continue data exchange for one year could result in a claw back penalty.	

<sup>1</sup>Details on the Common Clinical Data Set can be found here: [https://www.healthit.gov/sites/default/files/commonclinicaldataset\\_ml\\_11-4-15.pdf](https://www.healthit.gov/sites/default/files/commonclinicaldataset_ml_11-4-15.pdf)

## Milestone Payments

NYeC is coordinating the rollout of the program and is administering the incentive payments on behalf of the New York State Department of Health. Limited funding is available and this program is operated on a first-come, first-served basis. **Please see deadline dates below for each Milestone.**

Milestones	Required Documentation	Measurement	Payment
<b>Milestone 1</b> Enrollment	<b>Milestone 1 Attestation</b>	<p><b>Deadline for Milestone 1 Enrollment: September 30, 2020</b></p> <p>Organization attests they have signed a QE participation agreement and will meet Milestone 2 requirements by the program deadline of <b>May 31, 2021</b></p>	<b>\$2,000</b>
<b>Milestone 2</b> Go Live	<b>Milestone 2 Attestation</b>	<p>Organization attests they are able to receive a Summary of Care Record electronically <b>AND</b> a connection is established to the QE and they are contributing all required data elements within six months of connectivity/data contribution go-live <b>Deadline: May 31, 2021</b></p>	<b>\$11,000</b> (per connection)