New York State Data Exchange Incentive Program (DEIP)
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II. Eligibility Requirements
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The SHIN-NY is a secure network of networks consisting of the Regional Health Information Organizations (also known as Qualified Entities (QEs))

- Provides efficient access to clinical records helps providers better manage patient care
- The SHIN-NY can help reduce healthcare costs, improve healthcare coordination, and increase the quality of care for patients in New York State

Since March 2015, all RHIOs must provide the following **Core Services** to Participants

- Statewide Patient Record Lookup*
- Statewide Secure Messaging (Direct)*
- Notifications (Alerts / Subscribe and Notify)*
- Provider & Public Health Clinical Viewers*
- Consent Management
- Identity Management and Security
- Public Health Reporting Integration
- Lab Results Delivery*

No charge for these services beyond initial setup
DEIP Basics

- **Data Exchange Incentive Program (DEIP)** was established by the New York State Department of Health (NYSDOH), with support from the Centers for Medicare & Medicaid Services (CMS), to increase HIE adoption across the state by helping to defray the cost for an organization when connecting to their local QE.

- The New York eHealth Collaborative (NYeC) is coordinating the rollout of the program and the incentive payments on behalf of the DOH.

- Electronic Health Record (EHR) interfaces to New York State Qualified Entities (QEs) increases the quantity and quality of data in the SHIN-NY and build value for providers and patients at the point of care.
  - In order to receive the full funding amount, $13,000, a provider needs to have a live connection of this type with their QE.

- Organizations participating in DEIP are incentivized to contribute a pre-defined set of data elements to their local QE.

- Limited funding is available and this program is operated on a first-come, first-served basis.
DEIP Eligibility Requirements

An organization must meet one of the following criteria:

### Regulated Facilities
- Be licensed as one of the following:
  - Article 28 Nursing Homes and Diagnostic & Treatment Centers
  - Article 36 Home Health agency/program
  - Article 40 Hospice

### Behavioral Health
- Be licensed by:
  - OMH
  - OASAS
- Or be a designated HCBS provider

### EPs
- Have at least one provider who has attested to and been paid under Medicare MU or Medicaid MU (any year, any stage)
An organization must meet **all** of the following criteria:

<table>
<thead>
<tr>
<th>EHR</th>
<th>Medicaid</th>
<th>Other</th>
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<tbody>
<tr>
<td>• Have an EHR that meets the Privacy &amp; Security criteria set forth by NYeC and NYSDOH (see next slide)</td>
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<td>• Have and EHR that is able to send data to the QE as either CCD or C-CDA</td>
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<td>• EPs must send as C-CDA</td>
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<td>• Organization must accept Medicaid, in one of the following forms:</td>
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<td>• Fee-for-Service</td>
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<td>• Managed Care</td>
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<td>• HARP (for BH)</td>
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<td>• Organization/provider must not already be connected to/contributing data to a QE</td>
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<td>• Must not have received payment from any source for similar HIE activities</td>
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**NEW EHR Privacy & Security Requirements**

- For purposes of DEIP, an EHR product and version must have obtained one of the following Privacy & Security Assurance(s) (A, B, **and/or** C):

  **A**
  - ONC Certification for, at a minimum, the following Privacy & Security criteria:
    - (d.1) Authentication, Access Control, and Authorization
    - (d.2) Auditable Events
    - (d.3) Audit Report(s)
    - (d.4) Amendments
    - (d.5) Automatic Log-off
    - (d.6) Emergency Access
    - (d.7) End-user device encryption
    - (d.8) Integrity
  - Certification **may** also require the following dependency criteria:
    - (g.4) Quality Management System
    - (g.5) Accessibility-Centered Design

  **B**
  - Current SOC 2, Type II audit with no material findings**

  **C**
  - Current, validated HITRUST assessment or NIST cybersecurity framework assessment**

**If the EHR product and version meets requirement ‘B’ or ‘C’, vendor must provide additional attestation form related to EHR features, functions, and behaviors related to privacy and security**
DEIP Data Contribution Requirements

Regulated Facilities (Art. 28, 36, 40)

• Contribute the **Common Clinical Data Set** in CCD or C-CDA:
  • Name, sex, DOB, race, ethnicity, pref. language, smoking status, problems, meds, med. allergies, lab tests, lab values/results, vital signs, care plan fields, procedures, care team members
  • *Additional data elements, if available*: Incidents & Accidents (I&A), nurses notes, progress notes, orders, pain and skin assessment, advance directives/MOLST

Behavioral Health

• Contribute **five specified Core elements**, plus three additional data elements, in CCD or C-CDA:
  • *Core (required)*: encounters, demographics, procedures/service, individualized service plans, diagnoses
  • *Additional (as available and appropriate)*: Medications, labs, allergies

Medicare & Medicaid EPs

• Contribute the **Common Clinical Data Set** in C-CDA:
  • Name, sex, DOB, race, ethnicity, pref. language, smoking status, problems, meds, med. allergies, lab tests, lab values/results, vital signs, care plan fields, procedures, care team members
# Program & Payment Milestones

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<tr>
<th>Milestone/Activity</th>
<th>Deadline</th>
<th>Incentive Payment</th>
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| **Milestone 1:** Attest to enrolling in DEIP:  
- Be a QE participant  
- Commit to meeting Milestone 2 requirements | - Participant must attest to meeting Milestone 2 by 9/30/2020 as long as funding is not exhausted before this time | $2,000 per organization |
| **Milestone 2:** Attest to the following:  
- Have the ability to receive a summary of care document in C-CDA  
- Have established a connection to the QE and that the organization is contributing the required clinical data as per the program requirements | - Attesting to Milestone 2 must occur within six months after connectivity/ data contribution go-live  
- Participant must attest by 9/30/2020 as long as funding is not exhausted before this time | $11,000 per connection |
How to Get Started

✓ Check your organization’s eligibility against the program requirements
✓ Contact your local QE
✓ Talk to your EHR vendor
✓ Contact NYeC or your local QE with any questions deip@nyehealth.org

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<tr>
<th>QE</th>
<th>Contact</th>
<th>Email</th>
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<tbody>
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<td>Keela Shatzkin</td>
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Overview documents Available Online

For more information, please visit our website:

http://www.nyehealth.org/deip